



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT(S):** James Takeshi Sugahara  
**SERIAL NO.:** 10/062,164  
**FILED:** February 1, 2001  
**ATTY. DOCKET:** 7056.033A  
**TITLE:** **A METHOD FOR STRUCTURING A TRANSACTION**

**EXPRESS MAIL CERTIFICATE**

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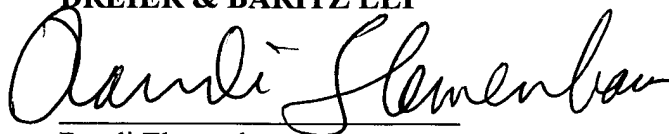
Date of Deposit: October 28, 2002

I hereby certify that the following attached paper(s) and/or fee

- (1) Request to Rescind Previous Non Publication Request  
35 U.S.C. 1229b(2)(b)(ii);
- (2) Petition to Revive Under 37 C.F.R. 1.137 (f);
- (3) Credit Card Form PTO-2038 in the amount of \$1280.00;
- (4) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Box DAC, Washington, DC 20231"

Respectfully submitted,  
**DREIER & BARITZ LLP**

  
Randi Flamenbaum

Dated: October 28, 2002

**CORRESPONDENCE:**

**DREIER & BARITZ LLP**  
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PTO/SB/17 (10-01)  
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<h1>FEE TRANSMITTAL</h1> <h2>for FY 2002</h2> <p><i>Patent fees are subject to annual revision.</i></p> <table border="1"><tr><td>TOTAL AMOUNT OF PAYMENT</td><td>(\$)</td><td>1280</td></tr></table>		TOTAL AMOUNT OF PAYMENT	(\$)	1280	<b>Complete if Known</b>	
		TOTAL AMOUNT OF PAYMENT	(\$)	1280		
		Application Number	10/062,164			
		Filing Date	2/01/01			
		First Named Inventor	James Takeshi Sugahara			
		Examiner Name	To Be Assigned			
Group Art Unit	2164					
		Attorney Docket No.	7056.033A			

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<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																													
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<b>3. ADDITIONAL FEES</b>																																																																																																																													
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 400</td><td>216 200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 920</td><td>217 460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,440</td><td>218 720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,960</td><td>228 980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 320</td><td>219 160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 320</td><td>220 160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 280</td><td>221 140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,280</td><td>241 640</td><td>Petition to revive - unintentional</td><td>1280</td></tr><tr><td>142 1,280</td><td>242 640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 460</td><td>243 230</td><td>Design issue fee</td><td></td></tr><tr><td>144 620</td><td>244 310</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126 180</td><td>126 180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 740</td><td>246 370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 740</td><td>249 370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 740</td><td>279 370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) <u>Ext. 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\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Barry J. Schindler	Registration No. (Attorney/Agent)	32,938
Signature		Telephone	212 328-6135
		Date	10/28/2002

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<b>REQUEST TO RESCIND PREVIOUS NONPUBLICATION REQUEST</b> <b>35 U.S.C. 122(b)(2)(B)(ii)</b>	Application Number		10/062,164
	Filing Date		2/1/2002
	First Named Inventor		James Takeshi Sugahara
	Title	METHOD FOR STRUCTURING A TRANSACTION	
	Atty Docket Number		7056.033A
	Group Art Unit		2164
	Examiner		To Be Assigned

I hereby **rescind** the previous request that the above-identified application not be published under 35 U.S.C. 122(b).

October 28, 2002  
Date

  
Signature

Barry J. Schindler  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b).

Note: Filing this rescission of a previous nonpublication request is considered the notice of a subsequent foreign or International filing required by 35 USC 122(b)(2)(B)(iii) and 37 CFR 1.213(c) if this rescission is filed no later than forty-five days (45) days after the date of filing of such foreign or international application. See 37 CFR 1.137(f) if a notice of subsequent foreign or International filing required by 35 USC 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is **not** filed within forty-five days (45) days after the date of filing of the foreign or international application.

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Signature	Date

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